Q-BONACCI WORDS AND NUMBERS

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Abstract. We present a quite curious generalization of multi-step Fibonacci numbers. For any positive rational $q$, we enumerate binary words of length $n$ whose maximal factors of the form $0^a1^b$ satisfy $a = 0$ or $aq > b$. When $q$ is an integer we rediscover classical multi-step Fibonacci numbers: Fibonacci, Tribonacci, Tetranacci, etc. When $q$ is not an integer, obtained recurrence relations are connected to certain restricted integer compositions. We also discuss Gray codes for these words, and a possibly novel generalization of the golden ratio.

1. Introduction

Multi-step generalization of Fibonacci numbers can be traced back to the works of Miles [12] and 14-year old Feinberg [6]. A lot of different studies about these numbers appear after, including the works of Flores [8], Miller [14], Dubeau [4] and Wolfram [17]. A bunch of combinatorial objects are enumerated by these numbers. For instance, the Knuth’s exercise [11, p. 286] shows that the set of length $n$ binary words avoiding $k$ consecutive 1s is enumerated by $k$-bonacci numbers respecting $a_n = a_{n-1} + a_{n-2} + \cdots + a_{n-k}$, with initial conditions $a_0 = 1, a_{-1} = 1,$ and $a_j = 0$ for any $j < -1$.

Independently, in two recent papers [1, 5], a new (as far as we know) kind of restricted binary words enumerated by generalized Fibonacci numbers was considered. For any $n \in \mathbb{N}$, Baril, Kirgizov and Vajnovszki [1] defined a set $W_{q,n}$, parameterized by a positive natural number $q$, as follows:

\textbf{Definition 1.1.} $W_{q,n}$ is the set binary words of length $n$ such that for every maximal consecutive subword (factor) of the form $0^a1^b$ which satisfies $a > 0$ we have $aq > b$, where $x^\ell$ denotes a factor of length $\ell$ consisting only of symbols $x$. Figure 1 presents some examples.

Eğecioğlu and Iršič deal in [5] with a graph whose vertex set corresponds to the words from $W_{1,n}$ starting with zero. Two vertices are adjacent in this graph if and only if the corresponding words differ at only one position.

In this short paper, we extend the above definition of $W_{q,n}$ for the case where $q$ is a positive rational number, provide generating functions and give a method to construct linear recurrence relation for the sequence $(|W_{q,n}|)_{n \geq 0}$ with 0-or-1 coefficients.

2. Set construction and generating function

For $q \in \mathbb{Q}^+$, the set $W_q = \bigcup_{n \in \mathbb{N}} W_{q,n}$ is constructed as follows:

$$W_q = \bigcup_{k=0}^{\infty} \{1^k\} \cup W_q \cdot S_q,$$

$$\text{where } S_q = \bigcup_{i=0}^{\infty} \{ 0 \ldots 00 1 \ldots 11 \}$$

(2.1)

and $W_q \cdot S_q$ corresponds to a set of all possible concatenations of elements from $W_q$ and $S_q$ (in this order). Table 1 shows shortest elements of $S_q$ for different values of $q$. A
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Figure 1. Sets \( W_{q,n} \) for small values of \( n \) and \( q \).

Let \( S_q(x) = \sum_{n=0}^{\infty} s_n x^n \) and \( W_q(x) = \sum_{n=0}^{\infty} w_n x^n \) be generating functions for \( S_q \) and \( W_q \), with respect to the word length, marked by \( x \). Coefficients \( s_n \) and \( w_n \) are the numbers of words of length \( n \) from sets \( S_q \) and \( W_q \). Using the classical symbolic method to derive formulas for generating functions (see Flajolet-Sedgewick book [7]), we see that \( \bigcup_{k=0}^{\infty} \{1^k\} \) has the generating function \( \frac{1}{1-x} \), and Eq. (2.1) gives \( W_q(x) = \frac{1}{1-x} + W_q(x) S_q(x) \), so

\[
W_q(x) = \frac{1}{(1 - S_q(x))(1 - x)}. \tag{2.2}
\]

In the following we consider a more refined (bivariate) version of these generating functions with respect to the number of zeros and ones. We note, with a slight abuse of notation,

\[
W_q(y, z) = \sum_{r=0}^{\infty} \sum_{i=0}^{\infty} w_{r,i} y^r z^i, \tag{2.3}
\]

where \( w_{r,i} \) is the number of words in \( W_q \) having exactly \( r \) zeros and \( i \) ones. It is easy to see that \( W_q(x) \) is retrieved from \( W_q(y, z) \) by replacing both \( y \) and \( z \) by \( x \), that is \( W_q(x) = W_q(x, x) \). The bivariate generating function \( S_q(y, z) \) is defined in a similar way. In this setting, \( \bigcup_{k=0}^{\infty} \{1^k\} \) has the generating function \( \frac{1}{1-y} \), and instead of Eq. (2.2) we have

\[
W_q(y, z) = \frac{1}{(1 - S_q(y, z))(1 - y)}. \tag{2.4}
\]

Now, we construct the set of suffixes \( S_q(y, z) \) and derive its generating function \( S_q(y, z) \).
Definition 2.1. Let \( q = \frac{c}{d} \) be a positive rational number represented by the irreducible fraction (e.g. \( 4 = \frac{4}{1} \)), a word factor \( 0^r1^c \) is called a spawning infix. The generating function with respect to the number of zeros (marked by \( z \)) and the number of ones (marked by \( y \)) for the spawning infix \( 0^r1^c \) is \( z^d y^c \). (We intentionally write \( z^d \) before \( y^c \). According to our idea, this should reflect the structure of the factors: zeros appear before ones.)

Definition 2.2. A polynomial

\[
P_{\frac{c}{d}}(y, z) = \sum_{i=0}^{c-1} z^{1+\left\lfloor \frac{i}{q} \right\rfloor} y^i
\]

is called a model polynomial of a positive rational number \( q \) represented by the irreducible fraction \( q = \frac{c}{d} \).

For instance, \( P_{\frac{3}{4}}(y, z) = z + z^2 y \), \( P_{\frac{3}{2}}(y, z) = z + z y + z^2 y^2 \), and \( P_{\frac{1}{k}}(x) = z \) for any \( k \in \mathbb{N}^+ \).

Figure 2 presents a graphical interpretation of model polynomials.

\[
P_{\frac{3}{4}}(y, z) = z + z^2 y + z^3 y^2
\]

\[
P_{\frac{3}{2}}(y, z) = z + z^2 y + z^4 y^2
\]

Figure 2. A graphical representation of model polynomial \( P_{\frac{3}{4}} = z + z^2 y + z^3 y^2 \). For \( j > 0 \), a term \( z^j y^j \) in a model polynomial means that one must make \( i \) arc-steps of the angle \( 2q\pi \) in order to cross the starting line \( j \) times.

Lemma 2.3. Let \( q \in \mathbb{Q}^+ \) be represented by the irreducible fraction \( \frac{c}{d} \). The generating function \( S_q(y, z) = \sum_{r=0}^{\infty} \sum_{i=0}^{\infty} s_{r,i} z^r y^i \) where \( s_{r,i} \) is the number of words of the form \( 0^r1^i \), where \( r = 1 + \lfloor i/q \rfloor \) is

\[
S_{\frac{c}{d}}(y, z) = \frac{P_{\frac{c}{d}}(y, z)}{1 - z^d y^c}.
\]
Proof. Let us construct the set \( S_q \) in relation (2.1) iteratively. First add the word 0 and all words of the form \( 0^{1+[i/q]}1^i \) for \( i \in [1, c-1] \). These words correspond to the terms of the model polynomial \( P_q(y, z) \). Other words of \( S_q \) are obtained by iteratively injecting the spawning infix \( 00111 \) just after the rightmost 0 in already generated words. Using the classical symbolic method [7] we see that \( \frac{1}{1-z^d y^c} \) generates a sequence of infix additions. By construction \( s_{r,i} \) is either 0 or 1. \( \square \)

To illustrate Lemma 2.3 we take \( q = 3/2 \). In this case, the model polynomial is

\[
P_{\frac{3}{2}}(y, z) = z + zy + z^2 y^2,
\]

the corresponding words are

0, 01, 0011,

and the spawning infix is 00111. Adding the infix just after the rightmost 0 we obtain

000111, 0001111, 000011111.

And repeating this operation, we get

00000111111111, 00000111111111, 00000011111111, 00000001111111,...

Finally, we obtain the set \( S_{\frac{3}{2}} \).

**Theorem 2.4.** Let \( q \in \mathbb{Q}^+ \) be represented by the irreducible fraction \( q = \frac{c}{d} \). The generating function \( W_q(y, z) = \sum_{r=0}^{\infty} \sum_{i=0}^{\infty} w_{r,i} z^r y^i \) where \( w_{r,i} \) is number of words from \( W_q \) of length \( r+i \) containing exactly \( r \) zeros and \( i \) ones is

\[
W_q(y, z) = \frac{1 - z^d y^c}{(1-y)(1-z^d y^c - P_q(y, z))}.
\]

**Proof.** It follows directly from Lemma 2.3 and Equation (2.4). \( \square \)

Evaluating \( W_q(x, x) \) we get the generating function \( W_q(x) = \frac{1-x^{c+d}}{(1-x)(1-x^{c+d}-P_q(x, x))} \) where \( x \) marks the length.

The total number of 0s (in other words, the *popularity* of 0s) in all words from \( W_{q=1,n} \) is enumerated by a shift of the sequence A6478 in Sloane’s On-line Encyclopedia of Integer Sequences [15]. The corresponding generating function is obtained by evaluating \( \frac{\partial W_q(x, x)}{\partial z} \big|_{z=1} \).

It is quite unexpected, but the sequence A6478 enumerates also the edges in the *Fibonacci hypercube* considered by Rispoli and Cosares [16]. A Fibonacci hypercube is a polytope determined by the convex hull of the *Fibonacci cube* which in turn is defined by Hsu in [10] as the graph whose vertices correspond to binary words of size \( n \) avoiding two consecutive 1s and where two vertices are connected if and only if the corresponding words differ at only one position. Is it possible to give some kind of a nice bijective construction between the edges of Fibonacci Hypercube and the 0s in words from \( W_{q=1,n} \)? As far as we could check, no other sequences in OEIS [15] correspond to the popularity of 0s (or 1s) for other values of \( q \).
3. Linear recurrence with 0-1 coefficients

We shall prove the following result.

**Theorem 3.1.** Let a positive rational number \( q \) be represented by the irreducible fraction \( \frac{c}{d} \). The number of \( n \)-length binary words from \( W_{q,n} \), denoted by \( w_n \), can be expressed as

\[
w_n = \sum_{j \in J} w_{n-j} + w_{n-(c+d)},
\]

where \( J \) is the set of powers from the model polynomial \( P_{q=\frac{c}{d}}(x,x) \). For example, when \( q = \frac{3}{2} \), we have \( P_{\frac{3}{2}}(x,x) = x + x^2 + x^4 \), and \( J = \{1, 2, 4\} \).

Initial conditions \( w_0, w_1, \ldots, w_{c+d-1} \) are obtained by setting \( w_n = 0 \) for \( n < 0 \), unrolling Equation (3.1) from left to right, while adding an extra 1 for every \( w_i \) for \( 0 \leq i < c + d \).

**Proof.** Consider the following map \( \psi \) (first defined in [1]) acting on binary words

\[
\psi(1^k) = 1^{k+c+d};
\]

\[
\psi(v1^k) = v0^d1^c1^k, \text{if } v \text{ ends with } 0.
\]

We first show that \( \psi \) induces a bijection from \( W_{q,k} \) to the subset of words from \( W_{q,k+c+d} \) ending by at least \( c \) 1s. The map \( \psi \) inserts the spawning suffix \( 0^d1^c \) just after the rightmost 0 in a word having at least one 0. This does not change the property characterizing the words in \( W_q \) (see Definition 1.1). If there are no 0s in a word from \( W_{q,k} \), this word is extended by adding \( c + d \) 1s at the end. And again it does not change the characterizing property of \( W_q \).

Given the above analysis, it is easy to see that \( \psi \) applied to any word in \( W_{q,n} \) gives us a word in \( W_{q,n+c+d} \) and this application is bijective.

As follows from Equation (2.1), any word from \( W_{q,n} \) is either \( 1^n \) or have a form \( ps \), where \( s = 0^i+|i/q|1^i \) is a word in \( S_q \) for certain \( i \geq 0 \), such that \( n \geq 1 + |i/q| + i \) and \( p \in W_{q,n-(1+|i/q|+i)} \).

When \( n \geq c + d \) there are \( c + 1 \) cases:

- **(case 1)** The words of \( W_{q,n} \) ending with 0 are obtained by adding 0 at the right end of words from \( W_{q,n-1} \). This corresponds to the first term, \( z \), of the model polynomial \( P_{q=\frac{c}{d}}(y,z) = \sum_{i=0}^{c-1} z^{1+i|y|/y^i} \).

- **(case 1)** The words of \( W_{q,n} \) ending with \( k \) 1s are obtained by adding the suffix \( 0^i+|k/q|1^i \) at the right end of words from \( W_{q,n-(1+|k/q|+k)} \). This corresponds to the term \( z^{1+i|k/q|+k} \) of the model polynomial \( P_q(y,z) \).

- **(case 1)** The words of \( W_{q,n} \) ending with at least \( c \) 1s are obtained from the words of \( W_{q,n-(c+d)} \) by applying \( \psi \).

Considering cardinalities of the sets, these \( c + 1 \) cases give us the claimed recurrence relation (3.1). To construct initial conditions \( W_{q,0}, W_{q,1}, W_{q,2}, \ldots, W_{q,c+d-1} \), we use the same process as in previously considered cases, assuming that \( W_{q,m} \) contains no words for every \( m < 0 \), and adding an extra word \( 1^k \) into every set \( W_{q,k} \) with \( 0 \leq k < c + d \), so \( W_{q,0} \) contains only the empty word \( 1^0 \).

Table 2 presents some sequences. Remark, that recurrence relations for sequences \( (|W_{q,n}|)_{n \geq 0} \) are equal to the recurrence relations for certain restricted integer compositions (ordered partitions). For some values of \( q \) the sequence \( (|W_{q,n}|)_{n \geq 0} \) corresponds exactly to a shift of a sequence enumerating restricted compositions (see \( q = 1/5 \) in Table 2). For other values of \( q \) the initial conditions differ from those of integer compositions. Consider, for instance, the case \( q = 3/5 \). The recurrence relation is \( w_n = w_{n-1} + w_{n-3} + w_{n-6} + w_{n-8} \). The same recurrence holds for the sequence enumerating the compositions of \( n \geq 2 \) into 1s, 3s, 6s and 8s, but the
initial conditions are different. The sequence of compositions starts with 1, 2, 3, 4, 7, 11, 17, 27, while the sequence \(|W_{3/5,n}|\) begins with 1, 2, 3, 5, 8, 12, 19, 30.

\[
\begin{array}{|c|c|c|}
\hline
q & Sequence & Recurrence relation & OEIS (with shifts) \\
\hline
\hline
1/5 & 1, 2, 3, 4, 5, 6, 7, 9, 12, 16, 21, 27, ... & wn = wn−1 + wn−6 & Compositions (ordered partitions) of \(n\) into 1s and 6s. A5708 \\
\hline
1/4 & 1, 2, 3, 4, 5, 6, 8, 11, 15, 20, 26, 34, ... & wn = wn−1 + wn−5 & C. into 1s and 5s. A3520 \\
\hline
1/3 & 1, 2, 3, 4, 5, 7, 10, 14, 19, 26, 36, 50, ... & wn = wn−1 + wn−4 & C. into 1s and 4s. A3269 \\
\hline
2/5 & 1, 2, 3, 4, 6, 9, 13, 18, 26, 38, 55, 79, ... & wn = wn−1 + wn−4 + wn−7 & Not in OEIS. New Narayana’s cows, A930 \\
\hline
1/2 & 1, 2, 3, 4, 6, 9, 13, 19, 28, 41, 60, 88, ... & wn = wn−1 + wn−3 & NEW & C. into 1s, 3s and 5s. A60961 \\
\hline
3/5 & 1, 2, 3, 5, 8, 12, 19, 30, 46, 72, 113, 176, ... & wn = wn−1 + wn−3 + wn−6 + wn−8 & C. into 1s, 3s and 5s and 7s. A117760 \\
\hline
2/3 & 1, 2, 3, 5, 8, 12, 19, 30, 47, 74, 116, 182, ... & wn = wn−1 + wn−3 + wn−5 & NEW & Fibonacci numbers, A45 \\
\hline
3/4 & 1, 2, 3, 5, 8, 13, 21, 33, 53, 85, 136, 218, ... & wn = wn−1 + wn−3 + wn−5 + wn−7 & NEW & New Tribonacci numbers, A73 \\
\hline
4/5 & 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, ... & wn = wn−1 + wn−3 + wn−5 + wn−7 + wn−9 & NEW & NEW & Tetrannacci numbers, A78 \\
\hline
1 & 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, ... & wn = wn−1 + wn−2 & NEW & Tetranacci numbers, A15911 \\
\hline
\hline
5/4 & 1, 2, 4, 7, 13, 23, 42, 75, 136, 244, 441, 794, ... & wn = wn−1 + wn−2 + wn−4 + wn−6 + wn−8 + wn−9 & NEW & Hexanacci numbers, A1592 \\
\hline
4/3 & 1, 2, 4, 7, 13, 23, 42, 75, 136, 244, 443, 799, ... & wn = wn−1 + wn−2 + wn−4 + wn−6 + wn−7 & NEW & NEW & New Tribonacci numbers, A73 \\
\hline
3/2 & 1, 2, 4, 7, 13, 23, 42, 76, 138, 250, 453, 821, ... & wn = wn−1 + wn−2 + wn−4 + wn−5 & NEW & NEW & Tribonacci numbers, A73 \\
\hline
5/3 & 1, 2, 4, 7, 13, 24, 44, 81, 148, 272, 499, 916, ... & wn = wn−1 + wn−2 + wn−4 + wn−5 + wn−7 + wn−8 & NEW & NEW & Tetrannacci numbers, A78 \\
\hline
2 & 1, 2, 4, 7, 13, 24, 44, 81, 149, 274, 504, 927, ... & wn = wn−1 + wn−2 + wn−3 & NEW & NEW & Tribonacci numbers, A73 \\
\hline
\hline
5/2 & 1, 2, 4, 8, 15, 29, 56, 107, 206, 396, 761, 1463, ... & wn = wn−1 + wn−2 + wn−3 + wn−5 + wn−6 + wn−7 & NEW & NEW & Tetrannacci numbers, A78 \\
\hline
3 & 1, 2, 4, 8, 15, 29, 56, 108, 208, 401, 773, 1490, ... & wn = wn−1 + wn−2 + wn−3 + wn−4 & NEW & NEW & Tribonacci numbers, A73 \\
\hline
\hline
4 & 1, 2, 4, 8, 16, 31, 61, 120, 236, 464, 912, 1793, ... & wn = wn−1 + wn−2 + wn−3 + wn−4 + wn−5 & NEW & NEW & Tribonacci numbers, A73 \\
\hline
5 & 1, 2, 4, 8, 16, 32, 63, 125, 248, 492, 976, 1936, ... & wn = wn−1 + wn−2 + wn−3 + wn−4 + wn−5 + wn−6 & NEW & NEW & Tribonacci numbers, A73 \\
\hline
\hline
\end{array}
\]

**Table 2.** Cardinalities of \(W_{q,n}\) for some values of \(q\).

4. **Gray codes**

A \(k\)-Gray code, named after Gray’s work [9], for a set \(A\) of words of length \(n\) is an arrangement of all words of \(A\) in such a way that any two consecutive words differ at most in \(k\) positions. As follows from a result of [1] (which applies to the rational case also), a 3-Gray code exists for every \(W_{3,n}\) with \(n \geq 0\) and any positive rational \(q\).

For some values of \(q\) and \(n\) no 1-Gray code can exist, for example when \(q = 2/3\) we have 12 words, 7 with odd number of 1s: 00001, 00100, 00010, 10000, 11001, 11100, 11111; and 5 with even number of 1s 00000, 10010, 10001, 11000, 11110. It easy to check that there is no 1-Gray in this case.

In general the question whether a 1-Gray code exists for a given \(q\) is a challenging one. The Eğecioğlu-Irişi conjecture [5] is essentially about the existence of a 1-Gray code for \(W_{1,n}\), \(n \geq 0\). A paper [1] offers a proof for this conjecture by presenting a sophisticated recursive construction. Here is an example for the words of length 5 and \(q = 1\): 11111, 11110, 11100, 11000,
11001, 10001, 10000, 10010, 00010, 00011, 00001, 00000, 00100. As mentioned in [1], experimental investigations for small values, $0 \leq n \leq 5$ and $q \in \{2, 3, 4, 5\}$, suggest the following conjecture.

Conjecture 4.1 (Baril, Kirgizov, Vajnovszki). Let $q \in \mathbb{N}^+$ and $n \geq 0$ be given. Then, a 1-Gray code exists for $W_{q,n}$.

5. Generalized golden ratio

The generalized golden ratio is defined as $\varphi_k = \lim_{n \to \infty} a_{n+1}/a_n$, where $a_{n+1}$ and $a_n$ are two adjacent $k$-bonacci numbers. The golden ratio is $\varphi_2 = (1 + \sqrt{5})/2$, and $\varphi_3 = (1 + \sqrt{19 + 3\sqrt{33} + \sqrt{19 - 3\sqrt{33}}})/3$ is known as the Tribonacci constant. The Tetranacci constant $\varphi_4$ have quite a large expression in radicals. In general, $\varphi_k$ is expressed as the largest root of the polynomial $x^k - x^{k-1} - \cdots - x - 1$. See Wolfram’s paper [17] for full details. In the same paper, Wolfram conjectured that there is no expression in radicals for $k \geq 5$. By computing the Galois group, with the help of the computer algebra system Magma [2], he confirmed the conjecture for $5 \leq k \leq 11$. Martin [13] proved the case of even or prime $k$. Furthermore, Cipu and Luca [3] demonstrated the impossibility of the construction of $\varphi_k$ by ruler and compass for $k \geq 3$. As far as we can tell, the question whether there is an expression in radicals remains open for odd non-prime $k \geq 11$. Dubeau [4] proved that $\varphi_k$ approaches 2 when $k \to \infty$.

By constructing and enumerating the set $W_{q,n}$ of restricted binary words of length $n$, parameterized by a positive rational value $q$, in this paper we provide a generalization of multi-step Fibonacci numbers. For integer $q$ we have $\varphi_{q+1} = \lim_{n \to \infty} |W_{q,n+1}|/|W_{q,n}|$. Non-integer $q$, in some way, allows us to see what happens with the generalized golden ratio, when its parameter becomes non-integer. As the generating functions are rational in our case, classical analytic combinatorics method can be used to find the limit. It equals to $1/\beta$, where $\beta$ the smallest by modulus root of the denominator of the corresponding generating function $W_q(x) = \frac{1-x^{c+d}}{(1-x)(1-x^{c+d}-P_q(x,x))}$ (see Thm. 2.4). Figure 3 presents some numerical estimations for the function $q \mapsto \lim_{n \to \infty} |W_{q,n+1}|/|W_{q,n}|$, where $q$ takes rational values from $[0, 2.02]$ with step 1/50.

Question 5.1. (related to Wolfram’s conjecture) For which rational values of $q$ there is an expression in radicals for $\varphi_{q+1} = \lim_{n \to \infty} |W_{q,n+1}|/|W_{q,n}|$?

Remark, that the set $W_{q,n}$ is well-defined even if we extend the domain of the parameter $q$ to all positive real numbers. We have three related conjectures in this realm:

Conjecture 5.2. Let $r \in \mathbb{R}^+$ be given. Then, $\lim_{n \to \infty} |W_{r,n+1}|/|W_{r,n}|$ exists and is finite.

Conjecture 5.3. The function $r \mapsto \lim_{n \to \infty} |W_{r,n+1}|/|W_{r,n}|$ is increasing over the interval $[0, +\infty)$ and discontinuous at every positive rational $r$.

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Figure 3. Numerical estimation of $\lim_{n \to \infty} \frac{|W_{q,n+1}|}{|W_{q,n}|}$ for several values of $r \in [0, 2.02]$, using a step 0.02.

References


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