THE NUMBER OF ORDERINGS OF n CANDIDATES WHEN TIES ARE PERMITTED*

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In a competition it is customary to rank the candidates permitting ties and it is an interesting elementary combinatorial problem to find the number $\omega(n)$ of such orderings when there are n labelled candidates. $\omega(n)$ has curious properties.

Theorem 1. $\omega(n)$ is equal to n! times the coefficient of x^n in the expansion of $(2 - e^x)^{-1}$, that is,

(1)
$$\sum_{n=0}^{\infty} \frac{\omega(n)x^n}{n!} = \frac{1}{2 - e^x}$$

if $\omega(0)$ is defined as 1.

By multiplying by $2 - e^{x}$ and equating coefficients we obtain the recurrence relation

(2)
$$\omega(n) = \delta_0^n + \sum_{r=0}^{n-1} \binom{n}{r} \omega(r),$$

where $\delta_0^n = 1$ and $\delta_0^n = 0$ if $n \neq 0$ ("Kronecker's delta").

I mentioned (1) without proof in an appendix to Mayer and Good (1973). [It may be compared with Proposition XXIV in Whitworth (1901/1951) which states that the number of ways in which n different things can be distributed into not more than n indifferent parcels is n! times the coefficient of x^n in the expansion of $exp(e^x)/e.$]

Proof. Let r denote the number of distinct positions in an ordering of n candidates; for example, if among five candidates two tied for the first place, one was "third," and the other two were "fourth and fifth equal" we would say that the number of distinct positions is 3. We shall prove that the number g(n,r) of orderings of n candidates having just r distinct "positions" is equal to n! times the coefficient of x^n in $(e^x - 1)^r$. (This is Whitworth's Proposition XXII whose proof is different.) Equation (1) then follows from the identity

$$(2-e^{x})^{-1} = \sum_{r=0}^{\infty} (e^{x}-1)^{r}.$$

When there are just r "positions" for the n candidates, let us adopt the unconventional terminology of calling these positions *first*, *second*, ..., r^{th} and let us imagine that, for a specific ordering, there are n_1 candidates who are first, n_2 who are second, ..., and n_r who are r^{th} , where necessarily

$$n_1 \ge 1$$
, $n_2 \ge 1$, ..., $n_r \ge 1$, $n_1 + n_2 + \cdots + n_r = n$.

The sequence of numbers n_1, n_2, \dots, n_r can be regarded as defining the *structure* of an ordering that has just r "positions." The number of orderings having just this structure (which incidentally is clearly a multiple of r!) is equal to the number of ways of throwing n labelled objects into r pigeon holes in such a way that there are n_1 in the first pigeon hole, n_2 in the second one, and so on. But this is equal to the multinomial coefficient $n! / (n_1! \dots n_r!)$ Hence g(n,r) is equal to n! times the coefficient of x^n in

^{*}For some overlooked references, see Sloan (1973), p. 109. Received by Editors in final form July, 1973.

(3)
$$\left(x + \frac{x^2}{2!} + \frac{x^3}{3!} + \cdots \right) \left(x + \frac{x^2}{2!} + \frac{x^3}{3!} + \cdots \right) \cdots \left(x + \frac{x^2}{2!} + \frac{x^3}{3!} + \cdots \right),$$

where there are r factors. The reason for putting in the x's here is that they automatically take care of the constraint $n_1 + \cdots + n_r = n$. Equation (1) then follows immediately.

Theorem 2.

$$\omega(n) = \sum_{r=0}^{\infty} \frac{r^n}{2^{r+1}}$$

Proof. We have

$$(2 - e^x)^{-1} = 2^{-1} \sum_{r=0}^{\infty} \frac{e^{rx}}{2^r} \qquad (|x| < log_e 2)$$

and the result follows at once from Theorem 1.

Theorem 3.

(5)
$$\omega(n) = \sum_{r=0}^{n} r! S_n^{(r)} = \sum_{r=0}^{n} \Delta^r \theta^n$$

(6)
$$= \sum_{r=0}^{n} \left\{ r^{n} - {r \choose 1} (r-1)^{n} + {r \choose 2} (r-2)^{n} - \dots + (-1)^{r} 0^{n} \right\} ,$$

where $S_n^{(r)}$ is a Stirling integer (number) of the second kind defined, for example, by Abramowitz and Stegun (1964, p. 824) or David and Barton (1962, p. 294), and tabulated in these two books on pages 835 and 294, respectively, and more completely in Fisher and Yates (1953, p. 78). Another notation for $S_n^{(r)}$ is S(n,r), e.g. Riordan (1958). We could define $S_n^{(r)}$ by

$$r!S_n^{(r)} = \Delta^r \theta^n.$$

(Note the conventions $\theta^0 = 1$, $S_n^{(0)} = \theta$ if $n \ge 1$, $S_0^{(0)} = 1$.)

Proof. It follows either from the proof of Theorem 1, or from Whitworth's Proposition XXII, that the term corresponding to a given value of r is equal to the contribution to $\omega(n)$ arising from those orderings of the n candidates having just r "positions." Equations (5) and (6) then follow at once. The "incidental" remark in the proof of Theorem 1 shows that $S_n^{(r)}$ is an integer.

An alternative proof of Theorem 3 follows from Theorem 2 by using the relationship between ordinary powers and factorial powers,

(8)
$$r^{n} = \sum_{m=0}^{n} S_{n}^{(m)} r(r-1) \cdots (r-m+1),$$

combined with the binomial theorem for negative integral powers.

Theorem 3 provides one way of computing $\omega(n)$, given tables of $S_n^{(r)}$. The calculations can be partly checked by the special case of (8),

(9)
$$\sum_{r=0}^{n} (-1)^{r} r! S_{n}^{(r)} = \sum_{r=1}^{n} (-1)^{r} \Delta^{r} 0^{n} = (-1)^{n}.$$

Theorem 4.

(10)
$$\omega(n) = \frac{n!}{2} \left\{ \frac{1}{2} \delta_0^n + \sum_{m=-\infty}^{\infty} \frac{1}{(\log_a 2 + 2\pi i m)^{n+1}} \right\}$$

(11)
$$\omega(n) - \frac{\pi}{2} \delta_0^n = \frac{n!}{2} \left\{ \frac{1}{(\log_e 2)^{n+1}} + 2 \sum_{m=1}^{\infty} \frac{\cos[(n+1)\theta_m]}{[(\log_e 2)^2 + 4\pi^2 m^2]^{(n+1)/2}} \right\}$$

(12)
$$= n!(\log_2 e)^{n+1} \left\{ \frac{1}{2} + \sum_{m=1}^{\infty} \cos^{m+1} \theta_m \cos \left[(n+1)\theta_m \right] \right\},$$

where

$$\theta_m = \tan^{-1}(2\pi m \log_2 e)$$

and the sum in (8) is a Cauchy principal value when n = 0.

Corollary.

(13)
$$\omega(n) \sim n! (\log_2 e)^{n+1}/2$$

when n tends to infinity.

This asymptotic formula gives the answer to the nearest integer (and hence exactly) when n < 16 (see Table 1). It is curious that $n! \ (log_2 e)^{n+1}/2$ is within 1/50 of an odd integer, namely $\omega(n)$, when $2 \le n \le 13$. We can obtain $\omega(n)$ exactly by taking the series of Theorem 4 as far as the first term for which $m > n/(2\pi e)$.

Proof of Theorem 4. By, say Titchmarch (1932, p. 113),

$$(1 - e^{-z})^{-1} = \frac{1}{2} + \lim_{M \to \infty} \sum_{m = -M}^{m} \frac{1}{z + 2m\pi i}$$
,

where z is a real or complex number, not a multiple of $2\pi i$. Put z = u - x and we can deduce that the coefficient of x^n in the power series expansion of $(1 - e^{x-u})^{-1}$ at x = 0 (when Re(u) > o) is

Theorem 4 follows on putting $u = log_e 2$.

TABLE 1

Fractional part of $a_{n,0}$ (denoted by $\{a_{n,0}\}$), and the values of $a_{n,1}, a_{n,2}$, and $a_{n,3}$, where $a_{n,m}$ denotes the terms of formula (11). The sum column gives the total to be added to the integral part of $a_{n,0}$.

n	$\{a_{n,0}\}$	a _{n,1}	a _{n,2}	a _{n,3}	Sum
1	.0406844905	-0.0244239291	-0.0062750652	-0.0028030856	.007
2	.0027807072	-0.0025628988	-0.0001650968	-0.0000327956	.000020
5	.0015185164	-0.0014866887	-0.0000285616	-0.0000026000	.00000067
10	.0052710420	-0.0052693807	-0.0000016476	-0.0000000133	.000000004
16	.5130767435	0.4869198735	0.0000033805	0.0000000025	1.0000000000
20	.5284857660	27.4714964238	0.0000178075	0.0000000028	28.0000000000
25	.4328539621	22480.5672001073	-0.0000540633	-0.0000000061	22481.0000000000

Theorem 5. (i) If $n \equiv n' \pmod{p-1}$, where $n \geqslant 1$, $n' \geqslant 1$, we have

(15)
$$\omega(n) \equiv \omega(n') \pmod{p},$$

where p is any prime. (ii) If $n \equiv 0 \pmod{p-1}$, where $n \geqslant 1$, then

$$(16) \omega(n) \equiv 0 \pmod{p},$$

where p is any odd prime.

COMMENT. If we had defined $\omega(0) = 0$, Part (ii) would have been a special case of Part (i), but unfortunately the convention $\omega(n) = 1$ is more convenient for Theorems 2 and 3.

Proof. To prove Theorem 5 we first give the following properties of the differences of powers at zero.

Lemma.

(17) (i)
$$\Delta^a \theta^b = \theta$$
 if $a > b$ $(a,b = 1,2,3,...)$

(18) (ii)
$$\Delta^r 0^n \equiv \Delta^r 0^{n'} \pmod{p}$$
 if $n \equiv n' \pmod{p-1}$, $n \geqslant 1$, $n' \geqslant 1$

(19) (iii)
$$\Delta^r 0^n \equiv (-1)^{r-1} \pmod{p}$$
 if $n \equiv 0 \pmod{p-1}$, $r \neq 0$, $n \neq 0$.

Equation (17) is a special case of the fact that the a^{th} difference of a polynomial of degree b is zero if a < b. To prove (18) we first note that

(20)
$$\Delta^{r} 0^{n} = \begin{cases} r^{n} - {r \choose 1} (r-1)^{n} + \dots + r(-1)^{r-1} 1^{n} & (r > 0, n > 0) \\ 0 & (r = 0, n > 0) \\ 1 & (r = n = 0) \end{cases}$$

But, by Fermat's theorem,

$$a^n \equiv a^{n'} \pmod{p}$$

so that (18) follows at once from (20). If $n \equiv 0 \pmod{p-1}$, $n \neq 0$, $r \neq 1$, it follows from (20) and Fermat's theorem

$$\Delta^r 0^n \equiv 1 - \binom{r}{1} + \dots + \binom{r}{r-1} (-1)^{r-1} \pmod{p}$$

and this gives (19) by the binomial theorem.

To deduce Theorem 5, we now see from Eq. (5) that

$$\omega(n) = \sum_{r=0}^{n} \Delta^{r} 0^{n} \equiv \sum_{r=0}^{n} \Delta^{r} 0^{n'} \pmod{p}$$

by (18). Hence, by (5), with n replaced by n',

$$\omega(n) = \omega(n') + \sum_{r=n'+1}^{n} \Delta^{r} \partial^{n'} = \omega(n')$$

by (17). To prove Part (ii), where $n \equiv 0 \pmod{p-1}$, $n \neq 0$, we have

$$\omega(n) = \sum_{r=0}^{n} \Delta^{r} \theta^{n} \equiv \sum_{r=1}^{n} (-1)^{r-1}$$

by (19), and this vanishes because n is even when p is odd.

SOME DEDUCTIONS FROM THEOREM 5

- (a) Taking p = 2 in Part (i) we see that $\omega(n)$ is always odd.
- (b) Given any odd prime p, there are an infinity of values for n for which p divides ω (n).
- (c) When n is even, 3 divides $\omega(n)$.
- (d) 59 divides ω (69) and 78803 divides ω (78813). (See the factorization of ω (11) in Table 2.) (e) $2^{11213} 1$ divides ω ($2^{11213} 2$), but the division will never be done!
- (f) $\omega(sp) \equiv \omega(s) \pmod{p}$ (s = 1, 2, 3, ...). [Here, and in (f), ..., (k), p is any prime number.]
- (g) $\omega(p) \equiv 1 \pmod{p}$. (Also deducible easily from (2).)

- (h) $\omega(p^k) \equiv 1 \pmod{p}$ $(k = 1, 2, 3, \cdots)$. (i) $\omega(2p^k) \equiv 3 \pmod{p}$ $(k = 1, 2, 3, \cdots)$. (k) $\omega(3p^k) \equiv 13 \pmod{p}$ $(k = 1, 2, 3, \cdots)$.

In Table 2, some prime factorizations of $\omega(n)$ are shown, and (g) is also exemplified. Large primes seem to have a propensity to appear as factors of $\omega(n)$.

Part (i) of Theorem 5 shows that the sequence $\omega(1)$, $\omega(2)$, $\omega(3)$, ... has period p-1 when pConjecture 1. is a prime. It may be conjectured that it never has a shorter period (properly dividing p-1). If this is true then the TABLE 2 Some Values of $\omega(n)$, and Some Prime Factorizations of $\omega(n)$ and of $\omega(n)-1$

converse of Part (ii) would be true; that is p could divide $\omega(n)$ only if $n \equiv 0 \pmod{p-1}$. I have verified the conjecture for all primes less than 73, but I do not regard this as strong evidence. In fact I estimate that the probability that the conjecture would have survived the tests, if it is false, is about 0.18.

If this conjecture is true then we can deduce that $\omega(n)$ is never a multiple of n, for any integer n greater than 1. Since ω (n) is always odd we need consider only odd values of n. Suppose then that n divides ω (n) and let p be a prime factor of n. Let the highest power of p that divides n be ρ^m . By repeated application of (f) we have $\omega(n) =$ $\omega(n/p^m)$ (mod p), and therefore by the converse of Part (ii) of Theorem 5 (which is true if the conjecture is) we see that n/p^m is a multiple of p-1 and is therefore even. But n is odd by assumption and we have arrived at a contradiction. So the conjecture implies that n cannot divide $\omega(n)$.

Conjecture 2. Modulo 2, 4, 8, 16, 32, 64, 128, 256, 512, ... the sequence $\{\omega(n)\}$ runs into cycles of lengths 1, 2, 2, 2, 2, 4, 8, 16, 32, That is the period modulo 2^k appears to be 2^{k-4} when $k \ge 5$, and, for k = 1, 2, 3, 4 is 1, 2, 2, and 2. This conjecture would follow from the following one,

Conjecture 3. If $\omega(n)$ is expressed in the binary system as

$$a_{n0} + 2a_{n1} + 2^2 a_{n2} + 2^3 a_{n3} + \cdots$$

then the sequence of r^{th} least significant digits, a_{1r} , a_{2r} , a_{3r} , \cdots runs into a cycle whose lengths, for $r=0,1,2,3,4,\cdots$ are respectively 1, 2, 2, 1, 2, 4, 8, 16, \cdots . That is, the period is 2^{r-3} for r>3 and for r=0,1,2 is 1, 2, and 2. This conjecture is formulated on the basis of the columns of Table 3.

Conjecture 4. If $\omega(n)$ is expressed in the scale of p, where p is an odd prime,

$$\omega(n) = b_{n0} + pb_{n1} + p^2b_{n2} + \cdots$$

then the sequence b_{1r} , b_{2r} , b_{3r} , ... runs into a cycle of length $p^r(p-1)$. This has been verified empirically for p^{r+1} = 9, 27, and 25 (and $n \le 36$). For r = 0 we know the result is true by Theorem 5, as we said before. A feasible conjecture is that the periods are never less than the ones stated.

Conjecture 5. Modulo p^r , where p is an odd prime, and r > 1, the sequence $\left\{ \omega(n) \right\}$ runs into a cycle of length $p^{r-1}(p-1)$ and no less. This would follow from Conjecture 4. It generalizes Conjecture 1. From Conjectures 2 and 5, if they are true, we can deduce that, modulo $m=2^k p_1^{k_1} p_2^{k_2} \cdots$, the sequence $\left\{ \omega(n) \right\}$

runs into a cycle of length

$$\phi(m) \quad \text{if} \quad k = 0, 1, \text{ or } 2$$

$$\phi(m)/2 \quad \text{if} \quad k = 3$$

$$\phi(m)/4 \quad \text{if} \quad k = 4$$

$$\phi(m)/8 \quad \text{if} \quad k \ge 5$$

where ϕ denotes Euler's arithmetic function.

Conjecture 6. Parts of Conjectures 2 to 5 could perhaps be proved inductively, by using Eq. (2) combined with the use of m^{th} roots of unity.

Conjecture 7. For each n, ω (n) and ω (n + 1) have no common factor, and the highest common factor of $\omega(n) = 1$ and $\omega(n+1) = 1$ is 2. This follows from Conjecture 1.

GENERALIZATION OF SOME OF THE RESULTS

The proof of Theorem 4 suggests correctly that several formulae that we have mentioned can be generalized by replacing $log_a 2$ by u. By making this change we see that, in addition to (14), we have:

The coefficient of x^n in $(1 - e^{x-u})^{-1}$ (where Re(u) > 0) is equal to

$$\frac{1}{n!} \left(-\frac{d}{du} \right)^n \frac{1}{1 - e^{-u}}$$

(22)
$$= \frac{1}{2} \delta_0^n + \frac{(-1)^n}{n!} \sum_{m=[n+1/2]}^{\infty} \frac{B_{2m} u^{2m-n-1}}{2m(2m-n-1)!} \quad (u < 2\pi)$$

Period

Antiperiod

TABLE 3 The Ten Least Significant Binary Digits a_{nr} of ω (n) (n = 1, 2, ...,36)

n	9	8	7	6	5	4	3	2	1	0
1 2 3 4 5	1	0	0	1 0	0	0 1	1 1	1 0 1	1 0 1 0	1 1 1 1
6 7 8 9 10	1 0 0 0 0	0 0 0 0	0 1 0 1	1 0 0 0	0 1 1 0 0	0 1 0 1 0	1 1 1 1	0 1 0 1	1 0 1 0	1 1 1 1
11 12 13 14 15	0 0 1 1 0	0 1 1 0 1	0 1 0 0	1 1 1 1 0	1 1 0 0	1 0 1 0	1 1 1 1	1 0 1 0	0 1 0 1	1 1 1 1
16 17 18 19 20	0 1 0 0	1 0 0 1 1	1 0 0 1	0 0 0 1 1	1 0 0 1 1	0 1 0 1	1 1 1 1	0 1 0 1	1 0 1 0	1 1 1 1
21 22 23 24 25	0 0 1 0	0 1 0 1	1 1 1 0 1	1 1 0 0	0 0 1 1 0	1 0 1 0 1	1 1 1 1	1 0 1 0	0 1 0 1 0	1 1 1 1
26 27 28 29 30	1 1 0 1 0	1 1 0 0 1	1 0 1 0	0 1 1 1	0 1 1 0 0	0 1 0 1	1 1 1 1	0 1 0 1 0	1 0 1 0 1	1 1 1 1
31 32 33 34 35	0 0 0 1	0 0 1 1 0	0 1 0 0 1	0 0 0 0 1	1 1 0 0	1 0 1 0 1	1 1 1 1	1 0 1 0 1	0 1 0 1 0	1 1 1 1
36	0	0	0	1	1	0	1	0	1	1
		32	16	8	4	2	1	2	2	1
		16	8	4	2	1	-	1	1	_

(24)
$$= \frac{1}{n!} e^{u} \sum_{m=0}^{n} S_{r}^{(m)} m! (e^{u} - 1)^{-m-1}$$

(25)
$$= \frac{1}{n!} e^{u} \sum_{m=0}^{n} (e^{u} - 1)^{-m-1} \Delta^{m} 0^{n}$$

(26)
$$= \frac{1}{2} \delta_0^n + \sum_{m=-\infty}^{\infty} \frac{\cos\left[(n+1)\tan^{-1}(2\pi m/u)\right]}{(u^2 + 4\pi^2 m^2)^{(n+1)/2}} .$$

For example,

$$\frac{1}{7!} \sum_{r=0}^{\infty} r^7 e^{-r} = \frac{e}{7!} \sum_{m=0}^{7} (e-1)^{-m-1} \Delta^m 0^7 = 1.00000023$$

and the coefficients of 1, x, x^2 , x^3 , ... in $(1 - e^{x-1})^{-1}$ are respectively

1.58, 0.92, 0.9962, 1.0011, 1.00014, 0.999982, 0.9999957, 1.00000023, ···,

tending rapidly to 1.

Formula (26) is always very effective for summing the series

$$\sum_{r=0}^{\infty} r^n z^r$$

numerically when |z| is close to 1.

ACKNOWLEDGEMENT

The main programming was done by Ray Allen Gaskins, using his multiple precision subroutines.

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