

# ON SOME PROPERTIES OF HUMBERT'S POLYNOMIALS

G. V. MILOVANOVIĆ

*University of Niš, P.O. Box 73, 18000 Niš, Yugoslavia*

G. DJORDJEVIĆ

*University of Niš, 16000 Leskovac, Yugoslavia*

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## 1. INTRODUCTION

In 1921, Humbert [8] defined a class of polynomials  $\{\Pi_{n,m}^\lambda\}_{n=0}^\infty$  by the generating function

$$(1 - mxt + t^m)^{-\lambda} = \sum_{n=0}^{\infty} \Pi_{n,m}^\lambda(x) t^n. \quad (1)$$

These satisfy the recurrence relation

$$(n+1)\Pi_{n+1,m}^\lambda(x) - mx(n+\lambda)\Pi_{n,m}^\lambda(x) - (n+m\lambda - m + 1)\Pi_{n-m+1,m}^\lambda(x) = 0.$$

Particular cases of these polynomials are Gegenbauer polynomials [1]

$$C_n^\lambda(x) = \Pi_{n,2}^\lambda(x)$$

and Pincherle polynomials (see [8])

$$\Phi_n(x) = \Pi_{n,3}^{-1/2}(x).$$

Later, Gould [2] studied a class of generalized Humbert polynomials

$$P_n(m, x, y, p, C)$$

defined by

$$(C - mxt + yt^m)^p = \sum_{n=0}^{\infty} P_n(m, x, y, p, C) t^n, \quad (2)$$

where  $m \geq 1$  is an integer and the other parameters are unrestricted in general. The recurrence relation for the generalized Humbert polynomials is

$$CnP_n - m(n-1-p)xP_{n-1} + (n-m-mp)yP_{n-m} = 0, \quad n \geq m \geq 1, \quad (3)$$

where we put  $P_n = P_n(m, x, y, p, C)$ .

In [6], Horadam and Pethe investigated the polynomials associated with the Gegenbauer polynomials

$$G^\lambda(x) = \sum_{k=0}^{[n/2]} (-1)^k \frac{(\lambda)_{n-k}}{k!(n-2k)!} (2x)^{n-2k}, \quad (4)$$

where  $(\lambda)_0 = 1$ ,  $(\lambda)_n = \lambda(\lambda+1) \dots (\lambda+n-1)$ ,  $n = 1, 2, \dots$ . Listing the polynomials of (4) horizontally and taking sums along the rising diagonals, Horadam and Pethe obtained the polynomials denoted by  $p_n^\lambda(x)$ . For these polynomials, they proved that the generating function  $G^\lambda(x, t)$  is given by

$$G^\lambda(x, t) = \sum_{n=1}^{\infty} p_n^\lambda(x) t^{n-1} = (1 - 2xt + t^3)^{-\lambda}. \quad (5)$$

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Some special cases of these polynomials were considered in several papers (see [3], [4], and [7], for example).

Comparing (5) to (1), we see that their polynomials are Humbert polynomials for  $m = 3$ , with  $x$  replaced by  $2x/3$ , i.e.,  $p_{n+1}^\lambda(x) = \Pi_{n,3}^\lambda(2x/3)$ .

### 2. THE POLYNOMIALS $p_{n,m}^\lambda(x)$

In this paper, we consider the polynomials  $\{p_{n,m}^\lambda\}_{n=0}^\infty$  defined by

$$p_{n,m}^\lambda(x) = \Pi_{n,m}^\lambda(2x/m).$$

Their generating function is given by

$$G_m^\lambda(x, t) = (1 - 2xt + t^m)^{-\lambda} = \sum_{n=0}^{\infty} p_{n,m}^\lambda(x) t^n. \quad (6)$$

Note that

$$p_{n,2}^\lambda(x) = C_n^\lambda(x) \quad (\text{Gegenbauer polynomials})$$

and

$$p_{n,3}^\lambda(x) = p_{n+1}^\lambda(x) \quad (\text{Horadam-Pethe polynomials}).$$

For  $m = 1$ , we have

$$G_1^\lambda(x, t) = (1 - (2x - 1)t)^{-\lambda} = \sum_{n=0}^{\infty} p_{n,1}^\lambda(x) t^n$$

and

$$p_{n,1}^\lambda(x) = (-1)^n \binom{-\lambda}{n} (2x - 1)^n = \frac{(\lambda)_n}{n!} (2x - 1)^n.$$

These polynomials can be obtained from descending diagonals in the Pascal-type array for Gegenbauer polynomials (see Horadam [5]).

Expanding the left-hand side of (6), we obtain the explicit formula

$$p_{n,m}^\lambda(x) = \sum_{k=0}^{[n/m]} (-1)^k \frac{(\lambda)_{n-(m-1)k}}{k!(n-mk)!} (2x)^{n-mk}. \quad (7)$$

These polynomials can be obtained from (2) by putting  $C = y = 1$ ,  $p = -\lambda$ , and  $x = 2x/m$ . Then we have

$$p_{n,m}^\lambda(x) = P_n(m, 2x/m, 1, -\lambda, 1).$$

Also, if we put  $C = y = m/2$  and  $p = -\lambda$ , we obtain

$$p_{n,m}^\lambda(x) = \left(\frac{2}{m}\right)^\lambda P_n(m, x, m/2, -\lambda, m/2).$$

Then, from (3), we get the following recurrence relation

$$np_{n,m}^\lambda(x) = (\lambda + n - 1)2xp_{n-1,m}(x) - (n + m(\lambda - 1))p_{n-m,m}(x), \quad (8)$$

for  $n \geq m \geq 1$ .

The starting polynomials are

$$p_{n,m}^\lambda(x) = \frac{(\lambda)_n}{n!} (2x)^n, \quad n = 0, 1, \dots, m - 1.$$

Remark: For corresponding monic polynomials  $\hat{p}_{n,m}^\lambda$ , we have

$$\hat{p}_{n,m}^\lambda(x) = x\hat{p}_{n-1,m}^\lambda(x) - b_n\hat{p}_{n-m,m}^\lambda(x), \quad n \geq m \geq 1,$$

$$\hat{p}_{n,m}^\lambda(x) = x^n, \quad 0 \leq n \leq m - 1,$$

where

$$b_n = \frac{(n-1)!}{(m-1)!} \cdot \frac{n+m(\lambda-1)}{2^m(\lambda+n-m)_m}.$$

The classes of polynomials  $\mathbb{P}_{m,\lambda} = \{p_{n,m}^\lambda\}_{n=0}^\infty$ ,  $m = 2, 3, \dots$ , can be found by repeating the "diagonal functions process," starting from  $p_{n,1}^\lambda(x)$ . Listing the terms of polynomials horizontally,

$$p_{n,m}^\lambda(x) = \sum_{k=0}^{[n/m]} \alpha_{n,m}^\lambda(k) (2x)^{n-mk}, \quad \alpha_{n,m}^\lambda(k) = \frac{(-1)^k (\lambda)_{n-(m-1)k}}{k!(n-mk)!},$$

and taking sums along the rising diagonals, we obtain  $p_{n,m+1}^\lambda(x)$ , because

$$\alpha_{n-k,m}^\lambda(k) = (-1)^k \frac{(\lambda)_{n-k-(m-1)k}}{k!(n-k-mk)!} = \alpha_{n,m+1}^\lambda(k).$$

### 3. SOME DIFFERENTIAL RELATIONS

In this section we shall give some differential equalities for the polynomials  $p_{n,m}^\lambda$ . Here,  $D$  is the differentiation operator and  $p_{k,m}^\lambda(x) \equiv 0$  when  $k \leq 0$ .

Theorem 1: The following equalities hold:

$$D^k p_{n+k,m}^\lambda(x) = 2^k (\lambda)_k p_{n,m}^{\lambda+k}(x), \quad (9)$$

$$2np_{n,m}^\lambda(x) = 2xDp_{n,m}^\lambda(x) - mDp_{n-m+1,m}^\lambda(x), \quad (10)$$

$$mDp_{n+1,m}^\lambda(x) = 2(n+m\lambda)p_{n,m}^\lambda(x) + 2x(m-1)Dp_{n,m}^\lambda(x), \quad (11)$$

$$2\lambda p_{n,m}^\lambda(x) = Dp_{n+1,m}^\lambda(x) - 2xDp_{n,m}^\lambda(x) + Dp_{n-m+1,m}^\lambda(x). \quad (12)$$

Proof: Using the differentiation formula (cf. [2, Eq. (3.5)])

$$D_x^k P_{n+k}(m, x, y, p, C) = (-m)^k k! \binom{p}{k} P_n(m, x, y, p-k, C)$$

we obtain (9).

To prove (10), we differentiate the generating function (6) w.r.t.  $x$  and  $t$ . Then, elimination  $(1 - 2xt + t^m)^{-\lambda-1}$  from the expressions, we find

$$\sum_{n=1}^{\infty} 2np_{n,m}^\lambda(x)t^n = (2x - mt^{m-1}) \sum_{n=0}^{\infty} Dp_{n,m}^\lambda(x)t^n.$$

Equating coefficients of  $t^n$  in this identity, we get (10).

By differentiating the recurrence relation (8), with  $n+1$  substituted for  $n$ , and using (10), we obtain (11).

Finally, by differentiating the generating function (6) w.r.t.  $x$ , replacing  $G_m^\lambda(x, t)$  by its series expansion in powers of  $t$ , and equating coefficients of  $t^{n+1}$ , we obtain the relation (12).

4. THE DIFFERENTIAL EQUATION

Let the sequence  $(f_r)_{r=0}^n$  be given by  $f_r = f(r)$ , where

$$f(t) = (n - t) \binom{n - t + m(\lambda + t)}{m}_{m-1}.$$

Also, we introduce two standard difference operators, the forward difference operator  $\Delta$  and the displacement (or shift) operator  $E$ , by

$$\Delta f_r = f_{r+1} - f_r \quad \text{and} \quad E f_r = f_{r+1},$$

and their powers by

$$\Delta^0 f_r = f_r, \quad \Delta^k f_r = \Delta(\Delta^{k-1} f_r), \quad E^k f_r = f_{r+k}.$$

**Theorem 2:** The polynomial  $x \mapsto p_{n,m}^\lambda(x)$  is a particular solution of the following  $m$ -order differential equation

$$y^{(m)} + \sum_{s=0}^m \alpha_s x^s y^{(s)} = 0, \tag{13}$$

where the coefficients  $\alpha_s$  are given by

$$\alpha_s = \frac{2^m}{s!m} \Delta^s f_0 \quad (s = 0, 1, \dots, m). \tag{14}$$

**Proof:** Let  $n = pm + q$ , where  $p = [n/m]$  and  $0 \leq q \leq m - 1$ . By differentiating (7), we find

$$x^s D^s p_{n,m}^\lambda(x) = \sum_{k=0}^{\lfloor \frac{n-s}{m} \rfloor} (-1)^k \frac{(\lambda)_{n-(m-1)k}}{k!(n-mk-s)!} (2x)^{n-mk}$$

and

$$D^m p_{n,m}^\lambda(x) = \sum_{k=0}^{p-1} (-1)^k \frac{(\lambda)_{n-(m-1)k} 2^m}{k!(n-m(k+1))!} (2x)^{n-m(k+1)},$$

where  $\lfloor \frac{n-s}{m} \rfloor = p$  when  $s \leq q$ , or  $= p - 1$  when  $s > q$ .

If we substitute these expressions in the differential equation (13) and compare the corresponding coefficients, we obtain the following relations:

$$\sum_{s=0}^m \binom{n-mk}{s} s! \alpha_s = 2^m k(\lambda + n - (m-1)k)_{m-1} \tag{15}$$

$(k = 0, 1, \dots, p-1)$

and

$$\sum_{s=0}^q \binom{n-mp}{s} s! \alpha_s = 2^m p(\lambda + n - (m-1)p)_{m-1}.$$

First, we consider the second equality, i.e.,

$$\sum_{s=0}^q \binom{q}{s} \frac{2^m}{m} \Delta^s f_0 = 2^m \frac{n-q}{m} \left( \lambda + q + \frac{n-q}{m} \right)_{m-1}.$$

This equality is correct, because it is equivalent to

$$(1 + \Delta)^q f_0 = E^q f_0 = f_q = f(q).$$

Equality (15) can be written in the form

$$\sum_{s=0}^m \binom{n-mk}{s} \Delta^s f_0 = f_{n-mk} \quad (k = 0, 1, \dots, p-1). \tag{16}$$

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Since  $t \mapsto f(t)$  is a polynomial of degree  $m$ , the last equalities are correct; (16) is a forward-difference formula for  $f$  at the point  $t = n - mk$ .

Thus, the proof is completed.

From (14), we have

$$\alpha_0 = \frac{2^m n}{m} \left( \frac{n + m\lambda}{m} \right)_{m-1} = \frac{2^m n}{m^m} \prod_{i=1}^{m-1} (n + m(\lambda + i - 1)),$$

$$\alpha_1 = \frac{2^m}{m} \left\{ (n - 1) \left( \frac{n - 1 + m(\lambda + 1)}{m} \right)_{m-1} - n \left( \frac{n + m\lambda}{m} \right)_{m-1} \right\}, \text{ etc.}$$

Since

$$f(t) = -\left(\frac{m-1}{m}\right)^{m-1} t^m + \text{terms of lower degree,}$$

we find

$$\alpha_m = -\frac{2^m}{m} \left(\frac{m-1}{m}\right)^{m-1}.$$

For  $m = 1, 2, 3$ , we have the following differential equations:

$$(1 - 2x)y' + 2ny = 0,$$

$$(1 - x^2)y'' - (2\lambda + 1)xy' + n(n + 2\lambda)y = 0,$$

$$\left(1 - \frac{32}{27} x^3\right)y''' - \frac{16}{9}(2\lambda + 3)x^2y''$$

$$- \frac{8}{27}(3n(n + 2\lambda + 1) - (3\lambda + 2)(3\lambda + 5))xy'$$

$$+ \frac{8}{27} n(n + 3\lambda)(n + 3(\lambda + 1))y = 0.$$

Note that the second equation is the Gegenbauer equation.

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